

FIRST SCHEDULE

(FORMS)

FORM A1

r.11 (1)

SOCIAL SECURITY REGULATORY AUTHORITY

APPLICATION FOR REGISTRATION OF A CUSTODIAN

Provide the following Particulars

A. GENERAL

- i) Name of Custodian
- ii) Registered office.....
 - Building
 - Road
 - Town
- iii) Postal Address
- Telephone
- Fax/email
- iv) Date of incorporation
- Certificate of incorporation No.....
- Country of incorporation
- v) Income Tax Personal Identification Number
- vi) Income Tax Reference Number

B. MANAGEMENT

- i) Members of the Board of Directors (Appendix A)
- ii) Chief Executive, Company Secretary and Heads of Departments (Appendix B)

(ii) Paid-up capital

Type of share and holding	No of share holders	No. of shares	Nominal value (Tshs)	Total amount (Tshs)	% of total
(a)..... shares					
(i) Local					
(ii) Foreign					
Total					
(b)..... shares					
(i) Local					
(ii) Foreign					
Total					
(c)..... shares					
(i) Local					
(ii) Foreign					
Total					

D. BUSINESS PARTICULARS

(i) State briefly the main object of the custodian

.....

(ii) State date of last Annual General meeting

.....

- (iii) List the retirement benefit schemes the custodian has offered custodial services for within the period of three years ending the date of application.
(In case of insufficient space provide separate attachment).

E. ATTACHMENTS

Please attach certified copies of the following:

- (i) Latest audited report and accounts
- (ii) Certificate of incorporation
- (iii) CMA Registration Certificate (if registered by the Capital Markets Authority);
- (iv) Memorandum and Articles of Association

Act no 8 Of
2008

I hereby declare section 15 of the Act has been complied with and that statements contained herein and the documents submitted herewith are true and accurate to the best of my knowledge and belief. Any alterations in particulars stated herein or in the said documents will be promptly communicated to the Authority within a period not later than thirty days from the date of alteration.

Signed on this..... day of

.....
Chief Executive/Secretary

Full name

Designation

PARTICULARS OF THE BOARD OF DIRECTORS

Name of the Custodian.....

Director (full name)	Nationality	Permanent Address	Occupation	Date of Appointment	No. of shares held

PARTICULARS OF TOP MANAGEMENT OF THE CUSTODIAN

Name of Manager

Executive (full name)	Designation	Nationality	Permanent Address	Date of Appointment	Academic and professional qualifications	Years of experience

APPENDIX C

PARTICULARS OF AUDITORS, LEGAL ADVISORS AND BANKERS

Name of Manager

	Name of firm/institution	Income Tax P.I.N.	Postal, Telephone and fax address	Affiliated Professional body	Date of appointment
Auditors					
Bankers					
Legal Advisor					

Form C1

SOCIAL SECURITY REGULATORY AUTHORITY
CERTIFICATE OF REGISTRATION OF A CUSTODIAN

REGISTRATION NO.

This is to certify that..... is registered as a Custodian subject to the provisions of the Social Security Regulatory Authority Act No 8 of 2008.

Given under my hand and seal of the Social Security Authority thisday of.....

.....
Chief Executive Officer
Social Security Regulatory Authority

SOCIAL SECURITY REGULATORY AUTHORITY
APPLICATION FOR REGISTRATION OF A MANAGER

Provide the following particulars -

A. GENERAL

- i) Name of Manager
- ii) Registered Office.....
Building
Road
Town
- iii) Postal address.....
TelephoneFax/Email.....
Telex.....

B. MANAGEMENT.

- i) Members of the Board of Directors (Appendix A)
- ii) Chief Executive, Company Secretary and Heads of Departments. (Appendix B)
- iii) Bankers, Auditors and Legal Advisors. (Appendix C)
- iv) Date of incorporation..... certificate of incorporation
no.....
- v) Income Tax Personal Identification Number
- vi) Income Tax Reference Number

Paid-up Capital

Type of share and holding	Number of shareholders	Number of shares	Nominal value (Tshs)	Total Amount (Tshs)	% of total
a)shares					
Local					
Foreign					
Total					
b).....Shares					
Local					
Foreign					
Total					
c)shares					
Local					
Foreign					
Total					
TOTAL					

D. BUSINESS PARTICULARS

(i) State briefly the main object of the manager.

.....

ii) State date of last Annual General Meeting.

.....

- iii) List the Social Security which the manager has managed their funds within the period of three years ending as at the date of application. *(Incase of insufficient space provide separate attachment).*

.....
.....
.....
.....
.....

E. ATTACHMENTS.

Please attach certified copies of the following:

- i) Latest audited report and accounts
- ii) Certificate of incorporation

Act no 8 of 2008

I hereby declare section 15of the Act has been complied with and that statements contained herein and the documents submitted herewith are true and accurate to the best of my knowledge and belief. Any alterations in particulars stated herein or in the said documents will be promptly communicated to the Authority within a period not later than thirty days from the date of alteration.

Signed on this day of.....

.....

Chief Executive/Secretary

Full name

Designation

APPENDIX A

PARTICULARS OF THE BOARD OF DIRECTORS

Name of the Manager

.....

Director (full name)	Nationality	Permanent Address	Occupation	Date of Appointment	No. of shares held

APPENDIX B

PARTICULARS OF TOP MANAGEMENT OF THE MANAGER

Name of Manager.....

Executive (full name)	Designation	Nationality	Permanent Address	Date of Appointment	Academic and professional qualifications	Years of experience

APPENDIX C

PARTICULARS OF AUDITORS, LEGAL ADVISORS AND BANKERS

Name of Manager.....

	Name of firm/institution	Income Tax P.I.N.	Postal, Telephone and fax address	Affiliated Professional body	Date of appointment
Auditors					
Bankers					
Legal Advisors					

Form C2

**SOCIAL SECURITY REGULATORY AUTHORITY
CERTIFICATE OF REGISTRATION OF A MANAGER**

REGISTRATION NO.

This is to certify that..... is registered as a Manager subject to the provisions of the Social Security Regulatory Authority Act No 8 of 2008.

Given under my hand and seal of the Social Security Regulatory Authority thisday of.....

.....
Chief Executive Officer
Social Security Regulatory Authority

